

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

11944-10-1C

First Named Inventor or Application Identifier

Carl A. Gideon

Title: Event Management in a System with Separate Application and  
Graphical User Interface Processing

Express Mail Label No.

EV 011 384 831 US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

- 1.
- ☒
- Fee Transmittal Form (e.g., PTO/SB/17)
- 
- (Submit an original, and a duplicate for fee processing)

- 2.
- ☒
- Specification [Total Pages] 16
- 
- (preferred arrangement set forth below)
- 
- Descriptive title of the invention
- 
- Background of the invention
- 
- Brief Summary of the invention
- 
- Brief Description of the Drawings (if filed)
- 
- Detailed Description
- 
- Claim(s)
- 
- Abstract of the Disclosure

- 4.
- ☒
- Oath or Declaration [Total Pages] 2
- 
- (Including Supplemental Declaration)

- a.
- ☐
- Unexecuted
- 
- b.
- ☐
- Copy from a prior application (37 CFR §1.63(d))
- 
- (for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i.
- ☐
- DELETION OF INVENTOR(S)**
- 
- signed statement attached deleting
- 
- inventor(s) named in the prior application
- 
- See 37 CFR §1.63(d)(2) and 1.33(b)

- 5.
- ☐
- Incorporation by Reference (useable if Box 4B is checked)
- 
- The entire disclosure of the prior application, from which a copy of
- 
- the oath or declaration is supplied under Box 4b is considered
- 
- as being part of the disclosure of the accompanying application and
- 
- is hereby incorporated by referenced therein

- 6.
- ☐
- Microfiche Computer Program (Appendix)

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- 7.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)
- 
- a.
- ☐
- Computer Readable Copy
- 
- b.
- ☐
- Paper Copy (identical to computer copy)
- 
- c.
- ☐
- Statement verifying identical of above copies

**ACCOMPANYING APPLICATION PARTS**

- 8.
- ☐
- Assignment Papers (cover sheet & Documents(s))
- 
- 9.
- ☐
- 37 CFR §3.73(b) Statement (when there is an assignee)
- 
- ☒
- Power of Attorney
- 
- 10.
- ☐
- English Translation Document (if applicable)
- 
- 11.
- ☐
- Information Disclosure Statement (IDS)/ PTO-1449
- 
- \_\_\_\_ Copies of IDS Citations
- 
- 12.
- ☐
- Preliminary Amendment
- 
- 13.
- ☒
- Return Receipt Postcard (MPEP 503)
- 
- (Should be specifically itemized)
- 
- 14.
- ☒
- Small Entity Statement(s) (PTO/SB-09-12)\*
- 
- ☐
- Statement filed in prior application
- 
- Status still proper and desired
- 
- \*A new statement is required to be entitled to pay small entity fees,
- 
- except where one has been filed in a prior application and is being relied
- 
- upon
- 
- 15.
- ☐
- Certified Copy of Priority Document(s)
- 
- if foreign priority is claimed
- 
- 16.
- ☐
- Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

- ☒
- Continuation
- ☐
- Divisional
- ☐
- Continuation-in-part (CIP) of prior application No: 09/164,244
- 
- Prior application information: Examiner: L. Luu Group / Art Unit: 2152

**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label(Insert Customer No. or Attach bar code label here)  
22,444or ☒ Correspondence address below

NAME	Hughes & Luce, LLP			ZIP CODE	75201
ADDRESS	1717 Main Street, Suite 2800			FAX	214-939-6100
CITY	Dallas	STATE	Texas		
COUNTRY	U.S.A.	TELEPHONE	214-939-5500		
Name (Print/Type)	William N. Hulseley III			Registration No. (Attorney/Agent)	33,402
Signature	<i>William N. Hulseley III</i>			1/23/02	

Burden Warning Statement: This form is estimated to take 9.2 hours to complete. Time will vary depending upon the needs of individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office: Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL FOR FY 2002

Patent Fees are subject to annual revision.

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

Total Amount of Payment **\$ 370.00**

Application Number Not Yet Assigned  
Filing Date January 23, 2002  
First Named Inventor Carl A. Gideon  
Examiner Name Not Yet Assigned  
Group / Art Unit Not Yet Assigned  
Attorney Docket No. 11944-10-1C

## METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

### Deposit Account:

Deposit Account No. 50-1343

Deposit Account Name: Hughes & Luce, LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge any fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below (except for the filing date) to be above identified deposit account.

## FEE CALCULATION

### 1. Basic Filing Fee

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	180	214	80
		Utility Filing Fee	\$ 370.00
		Design Filing Fee	\$
		Plant Filing Fee	\$
		Reissue Filing Fee	\$
		Provisional Filing Fee	\$
		Subtotal (1)	\$370.00

### 2. Extra Claim Fees For Utility and Reissue

Claims	Extra	Fee (below)	Fee Paid
Total	20 - 20** =	0 x \$ 18 =	\$
Indep.	3 - 3** =	0 x \$ 42 =	\$
Multiple Dependent			\$
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9
		Claims in excess of 20	
		Independent claims in excess of 3	
		Multiple dependent claim, if not paid	
		**Reissue independent claims over original patent	
		**Reissue claims in excess of 20 and over original patent	
		Subtotal (2)	\$

\*\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

### 3. Additional Fees

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1840*	113	1840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
126	1,960	226	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1510	138	1510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	480	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
179	740	279	370
169	900	169	900
		Surcharge - late fee or oath	\$
		Surcharge - late provisional filing fee or cover sheet	\$
		Non-English specification	\$
		Request for Reexamination	\$
		Requesting publication of SIR prior to Examiner action	\$
		Requesting publication of SIR after Examiner action	\$
		Extension for reply within first month	\$
		Extension for reply within second month	\$
		Extension for reply within third month	\$
		Extension for reply within fourth month	\$
		Extension for reply within fifth month	\$
		Notice of Appeal	\$
		Filing a brief in support of an appeal	\$
		Request for oral hearing	\$
		Petition to institute a public use proceeding	\$
		Petition to revive - unavoidable	\$
		Petition to revive - unintentional	\$
		Utility issue fee (or reissue)	\$
		Design issue fee	\$
		Plant issue fee	\$
		Petitions to the Commissioner	\$
		Petitions related to provisional applications	\$
		Submission of Information Disclosure Statement	\$
		Recording each patent assignment per property (times number of properties)	\$
		Filing a submission after final rejection (37 CFR 1.129(a))	\$
		Request for Continued Examination (RCE)	\$
		Request for expedited examination of a design application	\$

Other fee (specify): \$

\* Reduced by Basic Filing Fee Paid

Subtotal (3) \$

## SUBMITTED BY

Name (Print/Type)	William N. Hulsey III	Registration No.	33,402	Telephone	214-939-5500
Signature	<i>William N. Hulsey III</i>			Date	1/23/02

Complete (if applicable)